

## Preparation of New Nitrogen-Bridged Heterocycles. 37.<sup>1)</sup> Synthesis and Rearrangement of Full-Conjugated Oxepino[2,3-*b*]indolizine Derivatives

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The title compounds were first synthesized in considerably good yields by acid-catalyzed dehydration of the corresponding ethyl 2-arylcarbonyl-3-hydroxy-3-phenyl-2,3-dihydrooxepino[2,3-*b*]indolizine-4-carboxylate derivatives with methanesulfonic acid in boiling chloroform. Although these full-conjugated oxepino[2,3-*b*]indolizines with a nonaromatic 16 $\pi$  electron system were stable at room temperature, upon heating them in boiling ethanol, they were smoothly rearranged to ethyl 2-arylcarbonyl-1-oxo-2-phenyl-1,2-dihydropyrido[1,2-*a*]indole-3-carboxylates in good yields. The structures of these oxepino[2,3-*b*]indolizines and the rearranged pyrido[1,2-*a*]indol-1(2*H*)-ones were distinctly determined by physical and spectral means, including X-ray analyses.

In our preceding paper<sup>2)</sup> we reported that the acid-catalyzed dehydration of 4-acetyl-2-arylcarbonyl-3-methyl-2,3-dihydrooxepino[2,3-*b*]indolizine-3-ol derivatives, such as **A** (see Fig. 1), did not form any full-conjugated oxepino[2,3-*b*]indolizines **C**, but, instead of them, provided the corresponding 3-methylene compounds **B**. The latter compounds **B** were formed via dehydration between the 3-hydroxyl group and one of the less acidic 3-methyl protons, rather than the more acidic 2-methine proton in **A**. This finding strongly suggested that the *exo*-methylene type of compound **B** has a higher stability than does the full-conjugated type of compound **C**, and, if any reaction routes which lead to the other types of compounds are possible, the isolation of full-conjugated oxepino[2,3-*b*]indolizines, such as **C** with nonaromatic 16 $\pi$  electron system, might be very difficult. Based on these facts and our interest in the reactivity of new heterocycles having a nonaromatic 4 $\pi$  electron system,<sup>3)</sup> we focussed our attention on the preparation of 2,3-dihydrooxepino[2,3-*b*]indolizine-3-ols having a 3-substituent in which there is no easily abstracted proton, and thus selected a phenyl group as the 3-substituent for this purpose. In this paper we wish to report first on the syntheses of full-conjugated oxepino[2,3-*b*]indolizines from the acid-catalyzed dehydrations of the ethyl 2-arylcarbonyl-3-hydroxy-3-phenyl-2,3-dihydrooxepino[2,3-*b*]indolizine-4-carboxylates and their smooth rearrangement in boiling ethanol to ethyl 2-arylcarbonyl-1-oxo-2-phenyl-1,2-dihydropyrido[1,2-*a*]indole-3-carboxylates.

### Results and Discussion

**Preparations of 2,3-Dihydrooxepino[2,3-*b*]indolizine-3-ols.** Ethyl 2-arylcarbonyl-3-hydroxy-3-phenyl-2,3-dihydrooxepino[2,3-*b*]indolizine-4-carboxylates (**4a–f**) were obtained in low yields (2–5%) from a treatment of 1-(ethoxycarbonylmethyl)-2-ethylpyridinium bromide **1a** and 1-(ethoxycarbonylmethyl)-2-propylpyridinium bromide **1b** with 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU), followed by reactions of the resulting 2(3*H*)-indolizinones with ethyl (ethoxy-

methylene)benzoylacetate **2**, and then phenacyl bromides **3a–c** in the presence of a base, together with the formation of 3-benzoyl-2*H*-pyrano[2,3-*b*]indolizine-2-ones **5a,b** and 2-(arylcarbonyl)furo[2,3-*b*]indolizines **6a–f** (Scheme 1). Products **4a–f** and **6a–f** must be formed from the common intermediates, 2-arylcarbonylmethoxy-3-[2-benzoyl-2-(ethoxycarbonyl)vinyl]indolizines, by similar means described earlier by us.<sup>2,4)</sup> On the other hand, 2*H*-pyrano[2,3-*b*]indolizine-2-ones **5a,b** seem to be provided via an intramolecular nucleophilic attack of the 2-hydroxyl oxygen on the ester carbonyl carbon in 3-[2-benzoyl-2-(ethoxycarbonyl)vinyl]-2-indolizinols with the elimination of an ethanol. A similar reaction route has already been reported concerning the formation of pyrano[3,2-*a*] and pyrano[2,3-*b*]indolizine-2-ones from the acid-catalyzed deacylations of 2-acyloxy-1- and 3-[2-(ethoxycarbonyl)vinyl]indolizine derivatives.<sup>5)</sup>

The structures of products **4a–f** were determined by inspections of their physical and spectral data, and by comparisons of their IR and <sup>1</sup>H NMR (for those for **4a–f**, see Table 1) spectral data with those for known 2,3-dihydrooxepino[2,3-*b*]indolizines.<sup>2)</sup> In particular, the chemical shifts and signal patterns for the skeletal protons in the <sup>1</sup>H NMR spectra of **4a–f** were very similar to those for 4-acetyl-2-arylcarbonyl-3-methyl-2,3-dihydrooxepino[2,3-*b*]indolizine-3-ols.<sup>2)</sup> Furthermore, the *cis* configuration at the 2- and 3-positions in **4a–f** could be determined based on the crystallographic data for compound **4e**.<sup>6)</sup> The structures of compounds **5a,b** were identified by the analytical data, the very strong fluorescence,<sup>5)</sup> and by the presence of benzoyl proton signals and the absence of ethoxycarbonyl proton signals in the <sup>1</sup>H NMR spectra. On the other hand, compounds **6a–f** were concluded to be 2-(arylcarbonyl)furo[2,3-*b*]indolizines by a comparison with authentic samples.<sup>2,4,7)</sup>

**Acid-Catalyzed Dehydrations of 2,3-Dihydrooxepino[2,3-*b*]indolizine-3-ols.** When ethyl 2-benzoyl-3-hydroxy-11-methyl-3-phenyl-2,3-dihydrooxepino[2,3-*b*]indolizine-4-carboxylate **4a** was heated in chloro-

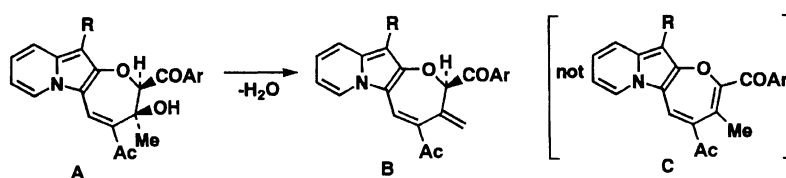
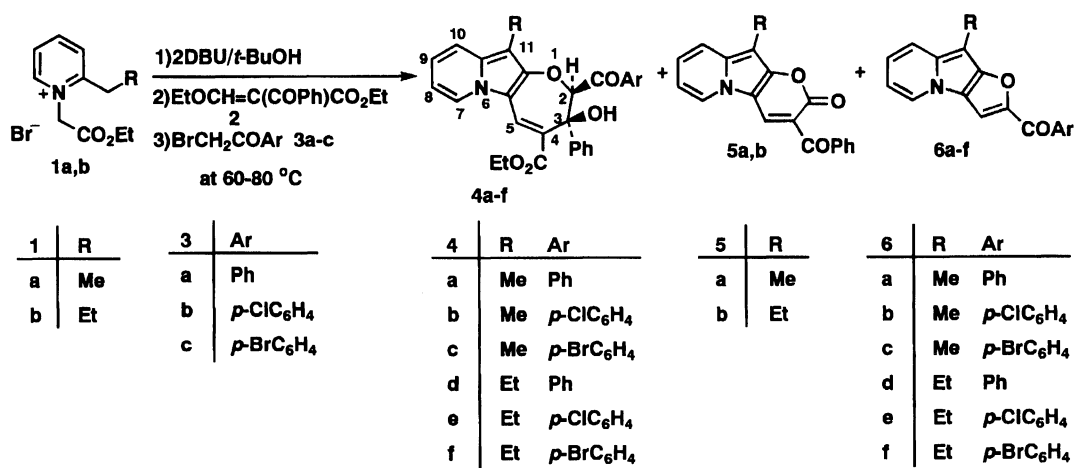


Fig. 1.

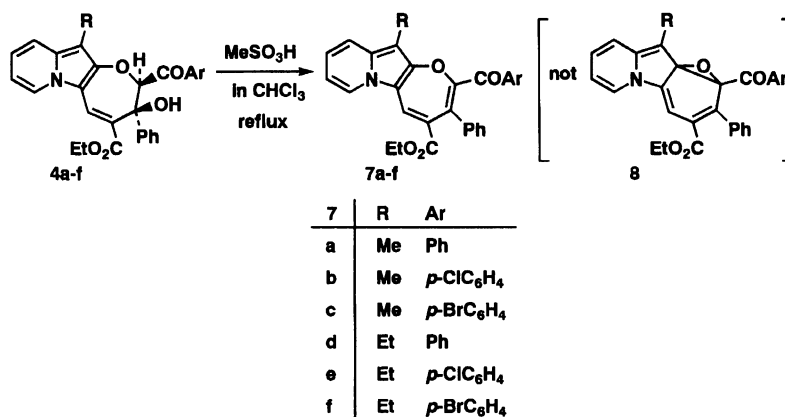


Scheme 1.

Table 1. <sup>1</sup>H NMR Spectral Data for Oxepino[2,3-*b*]indolizines

| Compd<br>No. <sup>a)</sup> | $\delta$ (CDCl <sub>3</sub> ) |           |              |            |              |      |           |                    |           |           |             |              |
|----------------------------|-------------------------------|-----------|--------------|------------|--------------|------|-----------|--------------------|-----------|-----------|-------------|--------------|
|                            | C-2                           | C-5       | C-7          | C-8        | C-9          | C-10 | 11-R      | CO <sub>2</sub> Et |           | 3-OH      | Ar and 3-Ph |              |
| <b>4a</b>                  | 5.55<br>s                     | 8.36<br>s | 8.28<br>br d | 6.68<br>dt | 7.09<br>br t | b)   | 1.73<br>s | 1.29<br>t          |           | 4.21<br>q | 6.33<br>s   | 7.1—8.2<br>m |
| <b>4b</b>                  | 5.45<br>s                     | 8.32<br>s | 8.25<br>br d | 6.65<br>dt | 7.06<br>br t | b)   | 1.75<br>s | 1.26<br>t          |           | 4.19<br>q | 6.30<br>s   | 7.1—8.1<br>m |
| <b>4c</b>                  | 5.48<br>s                     | 8.22<br>s | 8.15<br>br d | 6.63<br>dt | 7.04<br>br t | b)   | 1.80<br>s | 1.31<br>t          |           | 4.24<br>q | 6.24<br>s   | 7.1—8.0<br>m |
| <b>4d</b>                  | 5.58<br>s                     | 8.34<br>s | 8.26<br>br d | 6.71<br>dt | 7.02<br>br t | b)   | 0.72<br>t | 2.33<br>q          | 1.30<br>t | 4.25<br>q | 6.33<br>s   | 7.1—8.2<br>m |
| <b>4e</b>                  | 5.46<br>s                     | 8.26<br>s | 8.19<br>br d | 6.69<br>dt | 7.03<br>br t | b)   | 0.77<br>t | 2.34<br>q          | 1.33<br>t | 4.23<br>q | 6.32<br>s   | 7.1—8.1<br>m |
| <b>4f</b>                  | 5.57<br>s                     | 8.34<br>s | 8.30<br>br d | 6.68<br>dt | 7.03<br>br t | b)   | 0.77<br>t | 2.38<br>q          | 1.31<br>t | 4.25<br>q | 6.38<br>s   | 7.1—8.0<br>m |
| <b>7a</b>                  | —                             | 8.34<br>s | 8.22<br>br d | 6.81<br>dt | b)           | b)   | 1.74<br>s | 0.77<br>t          |           | 3.87<br>q | —           | 6.9—8.1<br>m |
| <b>7b</b>                  | —                             | 8.29<br>s | 8.20<br>br d | 6.76<br>dt | b)           | b)   | 1.80<br>s | 0.79<br>t          |           | 3.91<br>q | —           | 6.9—8.1<br>m |
| <b>7c</b>                  | —                             | 8.30<br>s | 8.22<br>br d | 6.77<br>dt | b)           | b)   | 1.77<br>s | 0.77<br>t          |           | 3.88<br>q | —           | 6.9—8.0<br>m |
| <b>7d</b>                  | —                             | 8.32<br>s | 8.21<br>br d | 6.81<br>dt | b)           | b)   | 0.87<br>t | 2.30<br>q          | 0.77<br>t | 3.91<br>q | —           | 6.9—8.1<br>m |
| <b>7e</b>                  | —                             | 8.28<br>s | 8.15<br>br d | 6.73<br>dt | b)           | b)   | 0.86<br>t | 2.27<br>q          | 0.74<br>t | 3.83<br>q | —           | 6.9—8.1<br>m |
| <b>7f</b>                  | —                             | 8.30<br>s | 8.20<br>br d | 6.70<br>dt | b)           | b)   | 0.91<br>t | 2.34<br>q          | 0.79<br>t | 3.91<br>q | —           | 6.9—8.0<br>m |

a) The coupling constants are as follows:  $J_{7,8}=J_{8,9}=7.0$ ,  $J_{9,10}=9.0$ , and  $J_{Et}=7.0$  Hz. b) Overlapped with the proton signals of the 2-arylcarbonyl and the 4-phenyl groups.



Scheme 2.

form including a small amount of methanesulfonic acid for 15 min, and the resulting reaction mixture was separated by column chromatography, the corresponding crystalline product **7a** was obtained in 61% yield as red prisms. Similarly, a treatment of 2,3-dihydrooxepino[2,3-*b*]indolizin-3-ols **4b–f** with the same reagent provided compounds **7b–f** in 68, 69, 92, 99 and 87% yields, respectively (Scheme 2).

The structures of compounds **7a–f** could be determined with ease by spectral and analytical inspections. The elemental analyses for all products **7a–f** were in good accord with our proposed compositions, and the <sup>1</sup>H NMR spectra (Table 1) exhibited no signal for the 3-hydroxyl proton or the 2-methine proton. The absence of a 3-hydroxyl group could also be confirmed based on the IR spectra of **7a–f**. In comparison with the dihydro compounds **4a–f**, a considerably higher magnetic shift ( $\delta=0.3–0.6$ ) of the proton signals for the 4-ethoxycarbonyl group was observed in the <sup>1</sup>H NMR spectra of **7a–f**. Since the distance between the 3-phenyl group and the 4-ethoxycarbonyl group in these full-conjugated oxepino[2,3-*b*]indolizines **7a–f** becomes nearer than that in 2,3-dihydrooxepino[2,3-*b*]indolizin-3-ols **4a–f**, this shielding effect must be caused by the aromatic ring current of the 3-phenyl group. On the other hand, the chemical shifts for the protons and alkyl proton signals on the indolizine ring in compounds **7a–f** were very similar to those in the starting material **4a–f** and other oxepino[2,3-*b*]indolizine derivatives.<sup>2)</sup> Furthermore, the chemical shifts of the skeletal protons on the indolizine ring in compounds **7a–f** are also parallel to those in aromatic indolizine derivatives,<sup>4,7,8)</sup> except for that of the C<sub>11</sub>-alkyl (*R*) group.<sup>9)</sup> These spectral data completely negated the presence of possible valence isomers, such as **8**,<sup>3)</sup> bearing an oxanorcaradiene structure for compounds **7a–f**.

The single-crystal X-ray crystallography for compound **7a** unambiguously exhibited that its structure is a full-conjugated oxepino[2,3-*b*]indolizine. The crystal and structure analysis data, as well as selected bond lengths and bond angles for ethyl 2-benzoyl-11-methyl-3-phenyloxepino[2,3-*b*]indolizine-4-carboxylate

**7a**, are summarized in Tables 2 and 3.<sup>12)</sup> An ORTEP drawing<sup>13,14)</sup> for **7a** is shown in Fig. 3. As can be seen from this drawing and the least-squares plane (mean deviation 0.0089 Å and  $\chi^2=12.0$ ) of the indolizine ring, this molecule **7a** comprises on almost plane indolizine ring and a nonplanar and tub-shaped oxepine ring (mean deviation 0.2756 Å and  $\chi^2=7334.0$ ), and also has a stacking structure between the respective three substituents at the 2-, 3-, and 4-positions. The bond alteration in the oxepine ring is much more remarkable than that in indolizine ring (see Table 3 and Fig. 2). The crystal data for the indolizine ring in **7a** are grossly similar to those for the indolizines reported earlier by us and other investigators.<sup>15)</sup> Eventually, these crystal data also showed that those full-conjugated indolizines **7a–f** comprise an aromatic indolizine ring and a nonaromatic and nonplanar oxepine ring.

**Rearrangements of Full-Conjugated Oxepino[2,3-*b*]indolizines.** These thus-obtained full-conjugated oxepino[2,3-*b*]indolizines **7a–f** were stable at room temperature; however, we observed a smooth transformation in the recrystallization of **7a** from hot ethanol. When a reddish ethanolic solution of ethyl 2-benzoyl-11-methyl-3-phenyloxepino[2,3-*b*]indolizine-4-carboxylate **7a** was heated under reflux for 10 h, and the reaction mixture was then cooled to room temperature, compound **9a** was separated from the reaction solution in 92% yield as orange prisms. Similar treatments of compounds **7b–f** in boiling ethanol gave the corresponding products **9b–f** in good yields (70–99%) (Scheme 3).

The analytical data for products **9a–f** showed that they have the same molecular compositions as materials **7b–f**, and the IR spectra exhibited each two carbonyl bands in the ranges of 1688–1701 and 1657–1669 cm<sup>-1</sup>. Furthermore, all of the chemical shifts for the skeletal protons and the alkyl protons in the <sup>1</sup>H NMR spectra (Table 4) of compounds **9a–f** are quite similar to those in aromatic indolizine derivatives.<sup>4,7,8)</sup> The values of the chemical shifts for the ethoxycarbonyl protons were intermediate between those for 2,3-dihydro-

Table 2. Crystal and Structure Analysis Data of Compounds **7a** and **9a**

|                                                     | <b>7a</b>                                                                                           | <b>9a</b>                                                                                           |
|-----------------------------------------------------|-----------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
| Formula                                             | C <sub>29</sub> H <sub>23</sub> NO <sub>4</sub>                                                     | C <sub>29</sub> H <sub>23</sub> NO <sub>4</sub>                                                     |
| Formula weight                                      | 449.51                                                                                              | 449.51                                                                                              |
| Crystal system                                      | Monoclinic                                                                                          | Triclinic                                                                                           |
| Space group                                         | <i>P</i> 2 <sub>1</sub> / <i>c</i> ; <i>Z</i> =4                                                    | <i>P</i> $\bar{1}$ ; <i>Z</i> =2                                                                    |
| Lattice parameter                                   |                                                                                                     |                                                                                                     |
| <i>a</i> /Å                                         | 16.628(7)                                                                                           | 11.631(3)                                                                                           |
| <i>b</i> /Å                                         | 8.216(6)                                                                                            | 12.519(4)                                                                                           |
| <i>c</i> /Å                                         | 17.408(10)                                                                                          | 8.946(4)                                                                                            |
| $\alpha$ /°                                         | 90                                                                                                  | 110.67(3)                                                                                           |
| $\beta$ /°                                          | 94.01(4)                                                                                            | 109.91(3)                                                                                           |
| $\gamma$ /°                                         | 90                                                                                                  | 83.44(2)                                                                                            |
| <i>V</i> /Å <sup>3</sup>                            | 2372(2)                                                                                             | 1145.9(7)                                                                                           |
| <i>D</i> <sub>calcd</sub> /g cm <sup>-3</sup>       | 1.258                                                                                               | 1.303                                                                                               |
| Crystal size/mm <sup>3</sup>                        | 0.28×0.26×1.00                                                                                      | 0.26×0.48×1.00                                                                                      |
| Diffractometer                                      | Rigaku AFC5S                                                                                        | Rigaku AFC5S                                                                                        |
| Radiation                                           | Mo <i>K</i> α ( $\lambda$ =0.71069 Å)                                                               | Mo <i>K</i> α ( $\lambda$ =0.71069 Å)                                                               |
| Monochromator                                       | Graphite                                                                                            | Graphite                                                                                            |
| Scan type                                           | $\omega$ -2 $\theta$                                                                                | $\omega$ -2 $\theta$                                                                                |
| 2 $\theta$ Max                                      | 55.1°                                                                                               | 55.1°                                                                                               |
| Computer program                                    | TEXSAN system <sup>a)</sup>                                                                         | TEXSAN system <sup>a)</sup>                                                                         |
| Structure solution                                  | MITHRIL <sup>b)</sup>                                                                               | MITHRIL <sup>b)</sup>                                                                               |
| Hydrogen atom treatment                             | Observed, isotropic                                                                                 | Calculated, not refined                                                                             |
| Refinement                                          | Full-matrix, anisotropic                                                                            | Full-matrix, anisotropic                                                                            |
| Least-squares weight                                | 4 <i>F</i> <sub>o</sub> <sup>2</sup> / $\sigma$ <sup>2</sup> ( <i>F</i> <sub>o</sub> <sup>2</sup> ) | 4 <i>F</i> <sub>o</sub> <sup>2</sup> / $\sigma$ <sup>2</sup> ( <i>F</i> <sub>o</sub> <sup>2</sup> ) |
| No. of measurement ref.                             | Total: 5718 Unique: 5524                                                                            | Total: 5547 Unique: 5285                                                                            |
| No. of observations <sup>c)</sup>                   | 1350                                                                                                | 2002                                                                                                |
| No. of variables                                    | 307                                                                                                 | 307                                                                                                 |
| Residuals <i>R</i> ; <i>R</i> <sub>w</sub>          | 0.065; 0.098                                                                                        | 0.071; 0.076                                                                                        |
| Max Shift/Error                                     | 0.27                                                                                                | 0.04                                                                                                |
| $\Delta\rho_{\max}$ /e <sup>-</sup> Å <sup>-3</sup> | 0.24                                                                                                | 0.36                                                                                                |

a) See Ref. 10. b) Direct method, see Ref. 11. c)  $I > 3.00\sigma(I)$ .

drooxepinoindolizin-3-ols **4a–f** and for full-conjugated oxepinoindolizines **7a–f**, indicating a decrease in the shielding effect due to the phenyl group. Based on the considerations of the well-known ring-contraction trend (thermal oxepine-phenol rearrangement) of oxepine derivatives<sup>16)</sup> and of the thermal stability of the products, we initially thought these products **9a–f** to be ethyl 1-arylcarbonyloxy-2-phenylpyrido[1,2-*a*]indole-3-carboxylates **10**. Because of the high planarity of the aromatic benzene ring, however, the smaller shielding effect to the ethoxycarbonyl protons attributable to the adjacent phenyl group in their <sup>1</sup>H NMR spectra could not skillfully explain this structure **10**. Taking into account this fact, other possible rearranged products, ethyl 2-arylcarbonyl-1-oxo-2-phenyl-1,2-dihydropyrido[1,2-*a*]indole-3-carboxylates, were newly considered as candidates for these products **9a–f**. The final structures for **9a–f** were established based on an analogy of a single-crystal X-ray analysis for compound **9a**. The crystal and structure analysis data, as well as selected bond lengths and bond angles for ethyl 2-benzoyl-10-methyl-1-oxo-2-phenylpyrido[1,2-*a*]indole-3-carboxylate **9a**, are summarized in Tables 2 and 3.<sup>12)</sup> An ORTEP drawing<sup>13)</sup> for **9a** is shown in Fig. 4. The crystal data for the indolizine ring of **9a** were also parallel to those

for other indolizine and fused indolizines.<sup>15)</sup>

**Mechanisms.** Possible mechanisms for the formations of full-conjugated oxepino[2,3-*b*]indolizines **7a–f** and pyrido[1,2-*a*]indol-1(2*H*)-ones **9a–f** are summarized in Scheme 4. The formation of compounds **7a–f** must be proceeded via protonation on the 3-hydroxyl oxygen of 2,3-dihydrooxepino[2,3-*b*]indolizin-3-ols **4a–f**, dehydration giving cationic intermediates **11**, followed by an elimination of the 2-methine proton from **11**. As first described, it is clear that the introduction of a phenyl group, in which there is no proton to abstract with ease, to the 3-position of 2,3-dihydrooxepino[2,3-*b*]indolizin-2-ol derivatives played an important role regarding the access of such full-conjugated oxepino[2,3-*b*]indolizines with a nonaromatic 4*n* $\pi$  electron system. On the other hand, pyrido[1,2-*a*]indol-1(2*H*)-ones **9a–f** must be formed due to the valence isomerization of the oxepine ring in **7a–f**, followed by ring opening of the resulting tetracyclic oxiranes **8** along with a rearrangement of the arylcarbonyl group to the adjacent carbon atom.<sup>17)</sup> The latter step is also a recovery of the aromaticity of the indolizine ring. In general, a smooth thermal and acid-catalyzed rearrangement from 2-unsubstituted oxepines to phenol derivatives<sup>3,16)</sup> and a thermal re-

Table 3. Selected Bond Lengths and Bond Angles for Compounds **7a** and **9a** (esd's where given, are in parentheses)

|                            | <b>7a</b> | <b>9a</b> |    | <b>7a</b> | <b>9a</b> |
|----------------------------|-----------|-----------|----|-----------|-----------|
| Bond lengths <sup>a)</sup> |           |           |    |           |           |
| a                          | 1.37(1)   | 1.412(6)  | k  | 1.40(1)   | 1.427(6)  |
| b                          | 1.40(1)   | 1.389(7)  | l  | 1.36(1)   | 1.333(6)  |
| c                          | 1.41(1)   | 1.376(5)  | m  | 1.49(1)   | 1.537(7)  |
| d                          | 1.40(1)   | 1.360(6)  | n  | 1.33(1)   | —         |
| e                          | 1.36(2)   | 1.337(7)  | o  | 1.42(1)   | —         |
| f                          | 1.39(2)   | 1.412(8)  | p  | 1.37(1)   | —         |
| g                          | 1.36(2)   | 1.342(8)  | q  | —         | 1.579(7)  |
| h                          | 1.42(1)   | 1.421(7)  | r  | —         | 1.440(7)  |
| i                          | 1.41(1)   | 1.377(7)  | s  | —         | 1.212(6)  |
| j                          | 1.39(1)   | 1.420(6)  |    |           |           |
| Bond angles <sup>a)</sup>  |           |           |    |           |           |
| ab                         | 112(1)    | 108.7(4)  | fg | 120(1)    | 120.5(5)  |
| ai                         | 106(1)    | 106.7(4)  | gh | 121(1)    | 121.0(5)  |
| ap                         | 125(1)    | —         | hi | 136(1)    | 135.2(5)  |
| ar                         | —         | 131.7(5)  | hj | 117(1)    | 115.9(5)  |
| bc                         | 104(1)    | 108.4(4)  | ij | 108(1)    | 108.9(4)  |
| bk                         | 127(1)    | 124.5(4)  | kl | 124(1)    | 119.5(5)  |
| bp                         | 123(1)    | —         | lm | 123(1)    | 122.7(4)  |
| br                         | —         | 119.6(4)  | mn | 123(1)    | —         |
| cd                         | 128(1)    | 130.6(4)  | mq | —         | 112.3(4)  |
| cj                         | 110(1)    | 107.3(4)  | no | 123(1)    | —         |
| ck                         | 128(1)    | 127.1(5)  | op | 111.7(8)  | —         |
| de                         | 118(1)    | 120.4(5)  | qr | —         | 116.8(5)  |
| dj                         | 122(1)    | 122.1(4)  | qs | —         | 118.1(5)  |
| ef                         | 122(1)    | 120.1(5)  | rs | —         | 125.1(5)  |

a) For the alphabetical symbols of the bond lengths and angles, see Fig. 2.

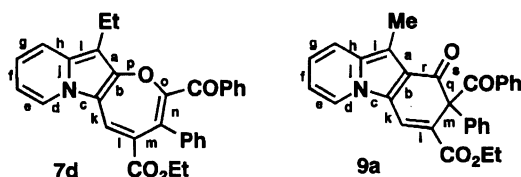


Fig. 2.

arrangement from 2,7-disubstituted oxepines or oxabridged annulenes to other oxepine compounds<sup>18)</sup> have been well documented. In all reactions of the oxepine series, the corresponding valence isomers, oxanorcaradiene compounds, are presumed to be a key intermediate.<sup>3,16,18)</sup> The reason why the products in these reactions were 2-(arylcarbonyl)pyrido[1,2-*a*]indol-1(2*H*)-ones **9a**—**f**, but not 1-(arylcarbonyloxy)pyrido[1,2-*a*]indoles **10**, is still unclear. However, this migration aptitude is very interesting, because such a rearrangement of an arylcarbonyl group is no precedent; in similar rearrangements of 6-substituted 2-arylcarbonyl-1,4-thiazines and -1,3,4-thiadiazines having the same 8 $\pi$  electron system, the arylcarbonyl group is moved only on the sulfur atom, but not on the adjacent carbon atom at all.<sup>19)</sup>

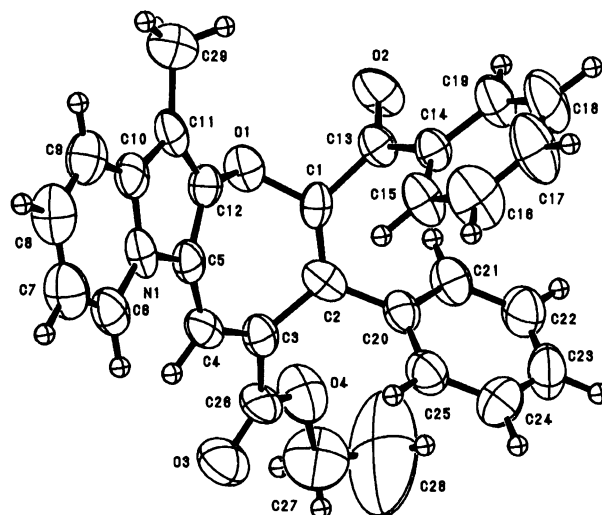


Fig. 3. ORTEP drawing of ethyl 2-benzoyl-11-methyl-3-phenyloxepino[2,3-*b*]indolizine-4-carboxylate (**7a**) showing the atom labeling scheme and 50% probability thermal ellipsoids.

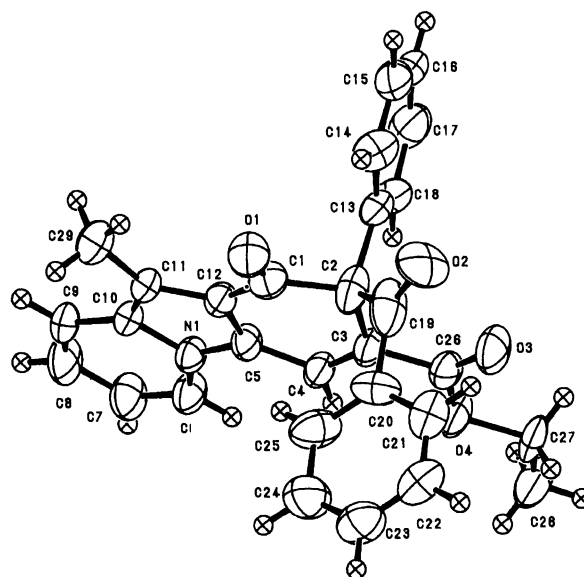
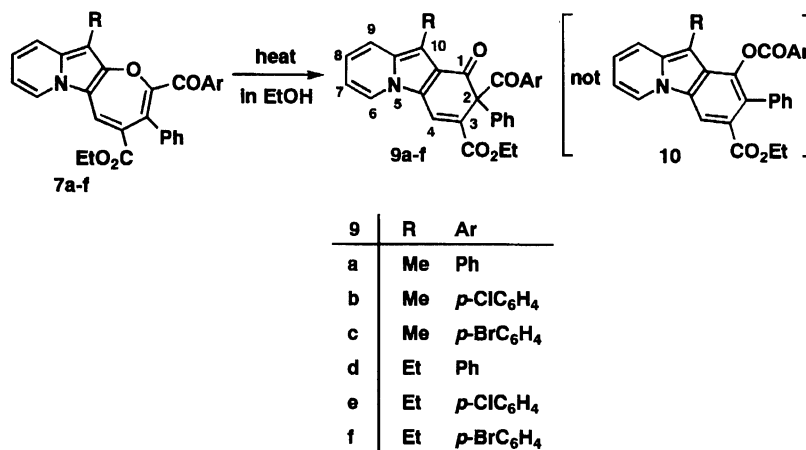


Fig. 4. ORTEP drawing of ethyl 2-benzoyl-10-methyl-2-phenyl-1-oxo-1,2-dihydropyrido[1,2-*a*]indole-3-carboxylate (**9a**) showing the atom labeling scheme and 50% probability thermal ellipsoids.

## Experimental

The melting points were measured with a Yanagimoto micro-melting-point apparatus and were not corrected. Microanalyses were performed on a Perkin-Elmer 2400 elemental analyzer. The <sup>1</sup>H NMR spectra (60 MHz) were measured with a Varian EM360A spectrometer in deuteriochloroform with tetramethylsilane used as an internal standard; the chemical shifts are expressed in  $\delta$  values. The IR spectra were taken with a JASCO FT/IR-5300 infrared spectrophotometer.

**Preparations of 2,3-Dihydrooxepino[2,3-*b*]indolizine-3-ols. General Method.** To an ethanolic so-

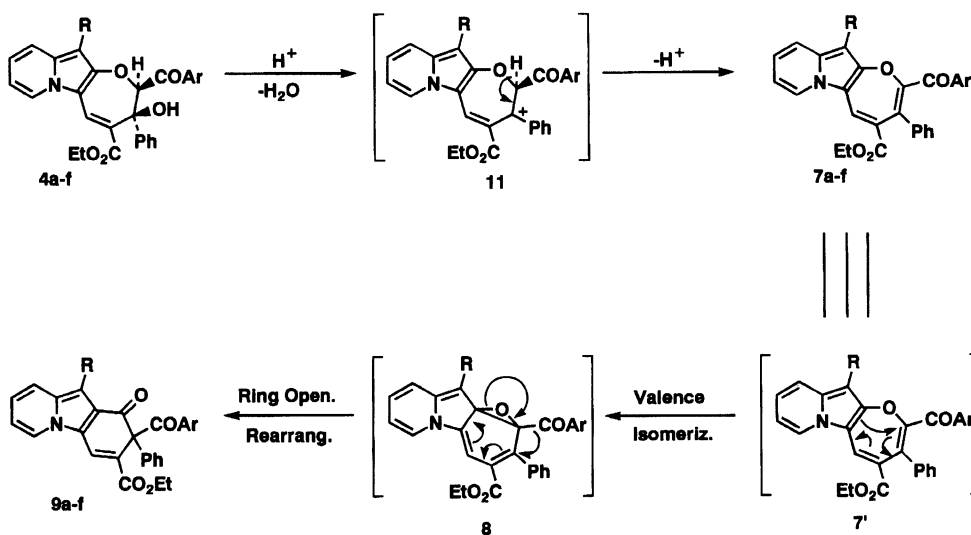


Scheme 3.

Table 4. <sup>1</sup>H NMR Spectral Data for Pyrido[1,2-*a*]indol-1(2*H*)-ones

| Compd.<br>No. <sup>a)</sup> | $\delta$ (CDCl <sub>3</sub> ) |              |                |     |           |           |                    |             |              |
|-----------------------------|-------------------------------|--------------|----------------|-----|-----------|-----------|--------------------|-------------|--------------|
|                             | C-4                           | C-6          | C-7            | C-8 | C-9       | 10-R      | CO <sub>2</sub> Et | Ar and 2-Ph |              |
| <b>9a</b>                   | 8.48<br>s                     | 8.22<br>br d | -6.6—7.2-<br>m | b)  | 2.50<br>s |           | 1.03<br>t          | 4.06<br>q   | 7.2—7.9<br>m |
| <b>9b</b>                   | 8.47<br>s                     | 8.24<br>br d | -6.6—7.2-<br>m | b)  | 2.50<br>s |           | 1.04<br>t          | 4.06<br>q   | 7.2—7.9<br>m |
| <b>9c</b>                   | 8.48<br>s                     | 8.23<br>br d | -6.6—7.2-<br>m | b)  | 2.50<br>s |           | 1.07<br>t          | 4.06<br>q   | 7.2—7.8<br>m |
| <b>9d</b>                   | 8.53<br>s                     | 8.29<br>br d | -6.6—7.2-<br>m | b)  | 1.11<br>t | 2.97<br>q | 1.01<br>t          | 4.03<br>q   | 7.2—7.9<br>m |
| <b>9e</b>                   | 8.43<br>s                     | 8.24<br>br d | -6.6—7.2-<br>m | b)  | 1.12<br>t | 2.96<br>q | 1.06<br>t          | 4.05<br>q   | 7.2—7.9<br>m |
| <b>9f</b>                   | 8.38<br>s                     | 8.16<br>br d | -6.6—7.2-<br>m | b)  | 1.07<br>t | 2.96<br>q | 1.01<br>t          | 4.02<br>q   | 7.2—7.8<br>m |

a) The coupling constants are as follows;  $J_{6,7}=7.0$  and  $J_{Et}=7.0$  Hz. b) Overlapped with the proton signals of the 2-phenyl and 2-arylcarbonyl groups.



Scheme 4.

Table 5. Some Data for Oxepino[2,3-*b*]indolizines

| Reactants      | Products(%) <sup>a)</sup>  | Mp <sup>b)</sup> /°C | $\nu$ (KBr) <sup>b)</sup> /cm <sup>-1</sup> |      |      | Formula <sup>b,c)</sup>                           |
|----------------|----------------------------|----------------------|---------------------------------------------|------|------|---------------------------------------------------|
| <b>1a,2,3a</b> | <b>4a(5),5a(8),6a(4)</b>   | 195—197              | 3309                                        | 1699 | 1651 | C <sub>29</sub> H <sub>25</sub> NO <sub>5</sub>   |
| <b>1a,2,3b</b> | <b>4b(4),5a(6),6b(9)</b>   | 213—215              | 3382                                        | 1701 | 1655 | C <sub>29</sub> H <sub>24</sub> ClNO <sub>5</sub> |
| <b>1a,2,3c</b> | <b>4c(5),5a(7),6c(9)</b>   | 211—215              | 3391                                        | 1701 | 1655 | C <sub>29</sub> H <sub>24</sub> BrNO <sub>5</sub> |
| <b>1b,2,3a</b> | <b>4d(2),5b(4),6d(3)</b>   | 167—168              | 3416                                        | 1699 | 1655 | C <sub>30</sub> H <sub>27</sub> NO <sub>5</sub>   |
| <b>1b,2,3b</b> | <b>4e(2),5b(10),6e(10)</b> | 184—186              | 3306                                        | 1701 | 1649 | C <sub>30</sub> H <sub>26</sub> ClNO <sub>5</sub> |
| <b>1b,2,3c</b> | <b>4f(5),5b(4),6f(3)</b>   | 151—153              | 3308                                        | 1701 | 1649 | C <sub>30</sub> H <sub>26</sub> BrNO <sub>5</sub> |
| <b>4a</b>      | <b>7a(61)</b>              | 168—170              |                                             | 1694 | 1667 | C <sub>29</sub> H <sub>23</sub> NO <sub>4</sub>   |
| <b>4b</b>      | <b>7b(68)</b>              | 135—137              |                                             | 1696 | 1671 | C <sub>29</sub> H <sub>22</sub> ClNO <sub>4</sub> |
| <b>4c</b>      | <b>7c(69)</b>              | 113—115              |                                             | 1698 | 1672 | C <sub>29</sub> H <sub>22</sub> BrNO <sub>4</sub> |
| <b>4d</b>      | <b>7d(92)</b>              | 184—185              |                                             | 1692 | 1657 | C <sub>30</sub> H <sub>25</sub> NO <sub>4</sub>   |
| <b>4e</b>      | <b>7e(99)</b>              | 92—94                |                                             | 1698 | 1669 | C <sub>30</sub> H <sub>24</sub> ClNO <sub>4</sub> |
| <b>4f</b>      | <b>7f(87)</b>              | 147—148              |                                             | 1688 | 1669 | C <sub>30</sub> H <sub>24</sub> BrNO <sub>4</sub> |

a) Compounds **4a—f** were obtained as yellow needles, **5a,b** as orange flakes, **6a—f** as red needles, and **7a—f** as red prisms. b) This value is for oxepino[2,3-*b*]indolizine. c) Satisfactory analytical data (within  $\pm 0.3\%$  for C, H, and N) were obtained for compounds **4a—f** and **7a—f**.

Table 6. Some Data for Pyrido[1,2-*a*]indol-1(2*H*)-ones

| Reactant  | Product <sup>a)</sup> | Yield<br>% | Mp<br>°C | $\nu$ (KBr)<br>cm <sup>-1</sup> |      | Formula <sup>b)</sup>                                      |
|-----------|-----------------------|------------|----------|---------------------------------|------|------------------------------------------------------------|
| <b>7a</b> | <b>9a</b>             | 92         | 184—185  | 1692                            | 1657 | C <sub>29</sub> H <sub>23</sub> NO <sub>4</sub>            |
| <b>7b</b> | <b>9b</b>             | 99         | 92—94    | 1698                            | 1669 | C <sub>29</sub> H <sub>22</sub> ClNO <sub>4</sub>          |
| <b>7c</b> | <b>9c</b>             | 87         | 147—148  | 1688                            | 1669 | C <sub>29</sub> H <sub>22</sub> BrNO <sub>4</sub>          |
| <b>7d</b> | <b>9d</b>             | 71         | 234—235  | 1698                            | 1667 | C <sub>30</sub> H <sub>25</sub> NO <sub>4</sub>            |
| <b>7e</b> | <b>9e</b>             | 70         | 225—226  | 1701                            | 1663 | C <sub>30</sub> H <sub>24</sub> ClNO <sub>4</sub> +1/2EtOH |
| <b>7f</b> | <b>9f</b>             | 78         | 189—192  | 1696                            | 1657 | C <sub>30</sub> H <sub>24</sub> BrNO <sub>4</sub> +1/2EtOH |

a) Compounds **9a—f** were obtained as orange prisms. b) Satisfactory analytical data (within  $\pm 0.3\%$  for C, H, and N) were obtained for compounds **9a—f**.

lution (30 ml) of 1-(ethoxycarbonylmethyl)pyridinium bromide (**1a** or **1b**, 3 mmol) DBU (1.83 g, 7 mmol) was added under heating (60—80 °C) in a water bath; after 15 min, ethyl (ethoxymethylene)benzoylacetate (**2**, 0.74 g, 3 mmol), and then phenacyl bromide (**3**, 3 mmol), were added to the resulting 2(3*H*)-indolizinone solution. The reaction solution was allowed to react for an additional 3—4 h under these conditions. From the cooled reaction solution, insoluble substances were removed by filtration, and the filtrate was concentrated at reduced pressure. The thus-obtained residue was separated by repeating column chromatography on alumina using benzene, and then chloroform, as eluents to give the corresponding ethyl 2-arylcarbonyl-3-hydroxy-3-phenyl-2,3-dihydrooxepino[2,3-*b*]indolizine-4-carboxylates **4**, 3-benzoyl-2*H*-pyrano[2,3-*b*]indolizin-2-ones **5**, and 2-(arylcarbonyl)furo[2,3-*b*]indolizines **6**. All products **4a—f**, **5a,b**, and **6a—f** were recrystallized from ethanol.

Products **6a—f** were in accord with authentic samples in all respects, and some data for new compounds, 3-benzoyl-2*H*-pyrano[2,3-*b*]indolizin-2-ones **5a,b**, are as follows:

**5a**, orange flakes, mp 242—243 °C,  $\nu$  (KBr) 1703 and 1624 (CO) cm<sup>-1</sup>,  $\delta$  (CDCl<sub>3</sub>)=2.35 (3H, s, 10-Me), 6.85 (1H, br t, *J*=7.0 and 7.0 Hz, 7-H), 7.0—8.0 (8H, m, 6-, 8-, 9-H and phenyl protons), 8.29 (1H, br d, *J*=7.0 Hz, 6-H), and 8.59 (1H, s, 4-H). Anal. (C<sub>19</sub>H<sub>13</sub>NO<sub>3</sub>) C,H,N.

**5b**, orange flakes, mp 260—261 °C,  $\nu$  (KBr) 1713 and 1624 (CO) cm<sup>-1</sup>,  $\delta$  (CDCl<sub>3</sub>)=1.30 (3H, t, *J*=7.0 Hz, 10-CH<sub>2</sub>CH<sub>3</sub>), 2.81 (2H, q, *J*=7.0 Hz, 10-CH<sub>2</sub>CH<sub>3</sub>), 6.85 (1H,

dt, *J*=7.0, 7.0, and 2.0 Hz, 7-H), 7.0—8.0 (8H, m, 6-, 8-, 9-H and phenyl protons), 8.27 (1H, br d, *J*=7.0 Hz, 6-H), and 8.60 (1H, s, 4-H). Anal. (C<sub>20</sub>H<sub>15</sub>NO<sub>3</sub>) C,H,N.

These results and some physical and spectral data are summarized in Tables 1 and 5.

**Preparations of Full-Conjugated Oxepino[2,3-*b*]indolizines. General Method.** To a chloroform solution (20 ml) of 2,3-dihydrooxepino[2,3-*b*]indolizin-3-ol (**4**, 1 mmol) methanesulfonic acid (0.5 ml) was added; the resulting mixture was heated under reflux in a water bath for 15—20 min. The reaction solution, which turned from yellow to red, was quickly concentrated at reduced pressure at below 30 °C. The residue was separated by column chromatography on alumina using chloroform as an eluent. Evaporation of the solvent at below 30 °C and recrystallization from ethanol without heating provided the dehydrated products **7a—f**.

These data are listed in Tables 1 and 5.

**Rearrangements of Full-Conjugated Oxepino[2,3-*b*]indolizines to Pyrido[1,2-*a*]indol-1(2*H*)-ones. General Method.** Full-conjugated oxepino[2,3-*b*]indolizine (**7**, 0.5 mmol) was dissolved in 50 ml of ethanol,

and the resulting solution was heated under reflux in a water bath until the starting material **7** disappeared (by TLC monitoring, about 7—20 h). After the reaction was completed, the reaction solution was cooled to room temperature and the precipitates of compound **9** which separated were collected by suction. The crude product was then re-

crystallized from ethanol. These data are given in Tables 4 and 6.

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